

# Dealing Form



Shareholder Inquiries: Telephone +353 1 434 5085  
HardingLoevnerTADublin@ntrs.com

Last Updated: 31 March 2023

## Harding Loevner Funds plc ("the Company")

Please complete this form and return to Northern Trust International Fund Administration Services (Ireland) Ltd. via one of the following three methods:

1. Mail to: Harding Loevner – Transfer Agency  
c/o Northern Trust International Fund Administration Services (Ireland) Ltd.  
City East Plaza - Block A, Towleron, Ballysimon Road, Limerick, Ireland V94 X2N9

2. Fax to: +353 1 434 5289

3. Email to: HardingLoevnerTAInstructions@ntrs.com

Complete email instructions are found within the Addendum for Email Dealing on pages 3 and 4

Refer to the relevant Prospectus Supplement for transaction settlement information. Subscription proceeds must be received by Northern Trust within two Business Days of the relevant Dealing Day. Dealing Deadline - 3pm Irish time.

## Registered Information and Dealing Instructions

Registered Shareholder Name:

Registered Account Number:

Transaction Type: Contribution

Redemption

Share Class Transfer

Amount:

Trade Date:<sup>1</sup> First Available

OR Future Date:

### Harding Loevner Funds plc Sub Fund

### Share Class / Currency Class / ISIN

Global Equity Fund

International Equity Fund

Emerging Markets Equity Fund

Frontier Emerging Markets Equity Fund

Global Small Companies Equity Fund

Global Paris-Aligned Equity Fund

Contact Name:

Daytime Phone Number:

E-mail:

Fax Number:

<sup>1</sup>Trades instructed for an invalid or expired Dealing Day will not be processed. The minimum subscription/redemption amount for each share class is specified in the Supplement for the relevant sub-Fund.

## Repayment Account Details (optional)

Please complete this section only if you have multiple bank details on file with Northern Trust International Fund Administration Services (Ireland) Ltd.

Payment of repurchase proceeds will be made to the primary account on file absent further instructions. Bank details may only be revised via authorised instruction to Northern Trust. A change of bank details form is required and can be provided upon request. A callback verification must also be completed to revise bank details.

Bank Name:

Account Name:

Account #:

Bank Address:

Account Currency:

City:

Postcode:

Country:

ABA #/Sort Code:

SWIFT/CHAPS:

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## Wire Instructions

Subscription monies should be paid to one of the following bank accounts. If you wish to subscribe in a currency other than that of your chosen share class, please contact Northern Trust International Fund Administration Services (Ireland) Ltd. at +353 1 434 5085 or HardingLoevnerTADublin@ntrs.com.

	AUD	CAD	CHF	EUR	GBP	SGD	USD
<b>Intermediary Bank</b>	National Australia Bank	Bank of Montreal	Credit Suisse AG	Barclays Bank plc	Barclays Bank plc	DBS Bank Ltd.	–
<b>Location</b>	Melbourne	Toronto	Zurich	Frankfurt	London	Singapore	–
<b>SWIFT</b>	NATAAU33	BOFMCAM2	CRESCHZZ80A	BARCDEFF	BARCGB22	BDSSSGSG	–
<b>Beneficiary Bank</b>	The Northern Trust International Banking Corporation						
<b>Fedwire ABA</b>	–	–	–	–	–	–	026001122
<b>CHIPS ABA</b>	–	–	–	–	–	–	0112
<b>SWIFT</b>	CNORUS33						
<b>Account Number</b>	1803007471500	31441044481	–	0210472800	53529495	0370035947	–
<b>IBAN</b>	–	–	CH1004835098499033010	–	–	–	–
<b>Beneficiary Account Name</b>	Harding Loevner Funds plc						
<b>Beneficiary Account Number</b>	64275120019	64276920019	63833820019	64277720019	64283520019	64279320019	17859020010
<b>Reference</b>	Fund Name, Share Class, Investor Name and Registered Account Number (if applicable)						

**Note:** All TNTIBC SSI's are published on both Banker's Almanac and Swiftref. Your bank will be able to obtain the full intermediary details from these sources. Monies must originate from the account of the investor.

## Authorised Signatories

Pursuant to the UCITS directive, I/we acknowledge that the Company is required to provide me/us with access to the relevant KIID prior to initial investment. I/we hereby acknowledge and understand that the KIID is not a financial promotion. I/we hereby acknowledge and understand that the information is required by law to help me/us understand the nature and risks of investing in the relevant fund. I/we hereby accept that updates to the Prospectus, the relevant Supplement and the KIID can be provided electronically either through a link to the relevant webpage of the provider [www.HardingLoevnerFundsPlc.com](http://www.HardingLoevnerFundsPlc.com) or alternatively by e-mail. I/We hereby confirm that for any subsequent investments I/we will obtain and read the latest version of the appropriate KIID prior to each subsequent subscription.

I/We confirm that I/we have the authority to make this investment. I/We hereby agree to indemnify and hold harmless the Administrator and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to Harding Loevner Funds plc. The Administrator will not be responsible or liable for the authenticity of instructions received from me/us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine.

I/we have reviewed the Prospectus, the KIID and Articles of Association (as amended from time to time) of the Fund and apply to be entered in the Register of Shareholders as the holder/holders of the Shares issued in relation to this document.

Failure to complete these details accurately and in accordance with the original signed application form and forward monies within the settlement time scale may result in the loss of good value and an interest claim from Harding Loevner Funds plc.

Please ensure you send the completed form by fax or e-mail to the Administrator. Forms sent by fax should be sent to the Administrator on +353 1 434 5289. If you wish to send the completed form to the Administrator by e-mail, please ensure that you read and comply with the 'Terms & Conditions of Service for instructions issued to the Administrator via e-mail' which can be found in the e-mail Dealing Addendum. E-mails not sent in accordance with the procedures will not be processed and may result in delays to your investment.

<b>Name:</b>	<b>Signature:</b>	<b>Date:</b> (DD/MM/YYYY)
<b>Name:</b>	<b>Signature:</b>	<b>Date:</b> (DD/MM/YYYY)

Additional information for investors in Switzerland: The state of the origin of the fund is Ireland. In Switzerland, the representative is ACOLIN Fund Services AG, Leutschenbachstrasse 50, 8050 Zurich, Switzerland, whilst the paying agent is Banque Cantonale de Genève, Quai de l'Île 17, 1204 Geneva, Switzerland. The prospectus, the Key Investor Information Documents, the Articles of Association as well as the annual and semi-annual reports may be obtained free of charge from the representative. In respect of the units distributed in or from Switzerland, the place of performance and jurisdiction is at the registered office of the representative. Only shares of the Global Equity Fund are open for subscription to non-qualified investors as defined in art. 10 CISA.

### Harding Loevner Funds plc ("the Company")

#### Terms and Conditions of Service for instructions issued to the Administrator via email

### Introduction

If you (hereinafter referred to as the "Investor") wish to send Northern Trust International Fund Administration Services (Ireland) Limited, (the "Administrator"), instructions in respect of the Shares of Fund (the "Fund") in portable document format ("PDF") or commonly used equivalent scanned form which is transmitted to the Administrator via email, then the terms as set out below will apply to the Investor's account.

#### **It is important that the investor reads these terms and conditions of service carefully.**

By completing the appropriate documentation (e.g. original subscription forms, additional subscription forms, transfer/switch requests or redemption forms) and instructing the Administrator in respect of the Shares of the Fund via email, the Investor will have accepted the following Terms and Conditions of Service.

### A. Procedure for PDF Instructions

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1. The email address to submit PDF instructions HardingLoevnerTAInstructions@ntrs.com This address is to be solely used for sending PDF instructions via email in respect of the shares in the Fund.
2. The Investor shall ensure that the PDF instruction is signed by properly authorised persons, scanned and attached to a blank email which is addressed to HardingLoevnerTAInstructions@ntrs.com
3. Please ensure that the Investor blank e-mail does not contain any text or non-text items including but not limited to logos.
4. Each blank e-mail sent to the email address specified above may only include one PDF file attachment. However, the single PDF file attachment may contain multiple instructions in relation to Shares in more than one Sub-Fund. A blank e-mail with multiple PDF attachments will be rejected by the Administrator.
5. PDF instructions received without the scanned PDF form attached will not be accepted.
6. Upon receipt of an email with the scanned PDF instruction, the Administrator will send the Investor a task number by auto-response.
  - a. The task number acknowledges receipt of the Investor's instruction.
  - b. The task number is not confirmation of placement of the instruction.
  - c. The Administrator must be in receipt of the instruction prior to the relevant dealing deadline as set out in the Fund's Prospectus. The Administrator will not be responsible for any delays in receipt.
  - d. If the Investor does not receive a task number by auto response, it is the Investor's responsibility to contact the Administrator by telephone (details confirmed within the application form) to confirm that the Administrator has received the Investor's instruction.
7. If the Investor sends the Administrator a PDF dealing instruction in respect of the shares in the Fund to a mailbox other than specified above, the Administrator will reject the instruction and/or the deal will not be placed. Notification of rejection may not be given prior to the dealing cut off.
8. In cases where the Investor has supplied the Administrator with a "group" email address, the Administrator will have fully discharged its responsibilities where it has sent any communication to this "group" address.
9. The Investor should not send a duplicate instruction by alternative means to the Administrator as this could lead to a duplicate e.g deals being placed in error.
10. The Investor is not obliged to instruct in this manner.

### B. General Terms and Conditions of Service

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1. Email is not a secure form of communication and may be subject to interception, interruption, corruption, distortion, non-delivery, loss, may not be confidential, secure or error free and may contain viruses. Using and relying on email involves increased risk of fraud and of miscommunications including those due to a telecommunications system or equipment failure, misdirected communications or illegibility of the instructions or documents and the Investor will bear the risks if the Investor wishes to conduct the Investor's dealings using email.
2. The Administrator is authorised and instructed to accept and execute any instructions in respect of shares in the Fund given by the Investor in PDF form or by email. The Administrator will rely conclusively upon, and neither the Company nor the Administrator shall incur liability in respect of any action taken upon any instruction believed in good faith to be genuine.
3. Neither the Company nor the Administrator will be responsible or liable for the authenticity of instructions received from the Investor or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed by the Administrator to be genuine.
4. Neither the Company nor the Administrator will accept responsibility or liability of any nature whatsoever arising out of or in connection with instructions given by the Investor in PDF form or by email, including without limitation, the Investor's use of an incorrect email address, failure of the Investor's transmission, interception, alteration or corruption of the Investor's email transmission, non-receipt of the Investor's electronic instruction, failure of technical infrastructure, error, omission, interruption, deletion, defect, delay in operation or transmission, computer virus, communication line failure, or any allotment, switch or redemption or other action taken in good faith by the Administrator upon any electronic instruction. In addition, neither the Company nor the Administrator will be liable for any failure to act upon electronic instructions due to equipment failure or for any cause that is beyond the control of the Administrator.